



Your Investment Experience and Knowledge

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Before Wealthflow make any investment recommendation(s) it is incumbent on us to determine that you have the necessary level of experience and knowledge to understand the risks involved in any transaction we may arrange in the management of your portfolio. Your answers to the following questions will help us to accurately assess your level of investment experience and knowledge.

INVESTMENT EXPERIENCE

What type of investment planning have you received advice on, or types of products have you purchased within the last 10 years? *(please circle where appropriate)*

No.	Types of product	Advice Received	Product Purchased
1	Building society deposit/savings accounts	YES / NO	YES / NO
2	Regular savings vehicles including endowments, friendly society bonds	YES / NO	YES / NO
3	Lump sum investment bonds	YES / NO	YES / NO
4	Unit trust/OEIC/non-cash ISA	YES / NO	YES / NO
5	Regular and/or single premium personal pension contracts	YES / NO	YES / NO
6	More complex pension arrangements such as SIPP's and Unsecured Pension/Income withdrawal	YES / NO	YES / NO
7	Shares, Gilts, Corporate Bonds	YES / NO	YES / NO
8	Warrants, derivatives, commodities, spread betting	YES / NO	YES / NO
9	Tax planning	YES / NO	YES / NO
10	IHT planning	YES / NO	YES / NO
11	Structured investment products	YES / NO	YES / NO
12	Unregulated collective investment schemes.	YES / NO	YES / NO

If possible, please attach list of previous schemes invested in.

Where applicable, please outline the nature, volume and frequency.

No.

INVESTMENT SERVICE

What type of service are you familiar with? *(please circle where appropriate)*

In any past dealings, did you normally receive advice?

YES / NO

Do you have any experience of requesting transactions on an Execution Only basis?

YES / NO



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KNOWLEDGE STATEMENT

Are there any particular issues which we should be aware of in terms of your level of education, profession or former profession which are relevant to your investment knowledge or experience?

YES / NO

If Yes, please provide details:

Which of the following descriptions best describes your investment experience and knowledge? *(please tick)*.

- I have no knowledge of investments whatsoever, and this would be my first investment.

- I am aware of market fluctuations, and how these might generate growth/income within my investments but also the fact that I may lose all or part of an investment made.

- I have a reasonable knowledge of investments, having previously purchased Investment/Pension contracts.
If the products purchased fluctuated in value, have you been comfortable with this? YES / NO
If No, please provide further detail below

- I have a strong knowledge of investments, having previously purchased a range of Investment/Pension contracts and I take an active interest in following investment markets and reviewing my financial plans.
If the products purchased fluctuated in value, have you been comfortable with this? YES / NO
If No, please provide further detail below

Further detail and/or your own description of your investment experience and knowledge:

Thank you for the information you have provided.

Name : _____ Signature : _____
Address : _____ Date : _____

Wealthflow provide objective, independent advice with uncompromising integrity and complete confidentiality. Please return your completed form to : Duncan R Glassey, Wealthflow LLP, Abbey House, 83 Princes Street, Edinburgh EH2 2ER